

STANFORD FINANCIAL GROUP RECEIVERSHIP

Notifying the Receiver of Claims (Other Than Seeking Release of a Frozen Customer Account)

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In addition to working to unfreeze customer brokerage accounts that can be unfrozen, the Receiver continues to analyze records and information that are available to him in the files of the Stanford companies to identify other potential claims against the Estate. These claims include claims against all the Stanford entities, including, among many other companies, Stanford International Bank, Ltd. and Stanford Trust Company, Ltd. The Receiver is also collecting data regarding claims from communications that have been received by the Estate. The work of the Receiver to complete the process of identifying and analyzing claims will take significant time.

When that work is complete, the Receiver will provide notice of all claims recognized by him. If you would like to provide your own notice to the Receiver of your potential claim at this time, instead of relying on the Receiver's notice at a later date in the formal claims objection process, the Receiver has established a process for you to do that.

Question 1. How do I provide information about my claim?

Answer. If you wish to provide information about your claim at this time, you may complete the form provided on this website and submit it electronically. ([Click here to access the claim notification form.](#))

Question 2. What information does the form require me to provide?

Answer. The form requires that you provide basic information such as your name and contact information, a description of your claim and, if you are able to provide it at this time, the amount of your claim. You also need to indicate which of several categories applies to your claim, to expedite processing of your claim. These categories are:

- Certificate of deposit claim
- Secured creditor claim
- Coin & bullion claim
- Employee claim
- Vendor claim
- Landlord claim
- Other claim

Question 3. Should I use this claim notification form to provide information to the Receiver to try to obtain release of my frozen Stanford Group Company brokerage account?

Answer. No. You should use the account review form described under [How to Request Release of a Frozen Stanford Group Company Customer Brokerage Account](#).

Question 4. Is there a deadline for filing the claim notification form?

Answer. At this time, there is no requirement that you provide information about your claim by a fixed deadline. The Receiver will provide at least 30 days advance notice of any deadline for filing claims that he or the Court establishes in the future. The notice will be published on this website and may also be communicated in other ways.

Question 5. After I file the form, how soon will I get paid?

Answer. Submitting a notice of claim at this time does not mean that you will receive any payment soon. The Receiver has substantial work remaining to be done to recover assets and funds that belong to the Estate and to convert assets to cash. Only after this process has been substantially completed will the Receiver be in a position to propose a plan to the Court for making distributions to claimants. At this time, the Receiver cannot predict how long that will take. In addition, based on what the Receiver has learned so far, it appears that the total assets of the Estate are likely to be only a fraction of the amount needed to satisfy the total anticipated claims against the Estate. Therefore, the Receiver cannot predict whether or when you will receive anything in response to your claim.

Question 6. What if I do not file this form? Will the Receiver notify me later that I may have the right to file a claim?

Answer. The Receiver, at the appropriate time, will file a report with the Court and notify claimants as to the list of claims against the Estate that are recognized by him based on Stanford's records and other information received by the Receiver. At that time, a process will be established that will allow persons to object if they are not satisfied with the amount or characterization of their claim as recognized by the Receiver.

Question 7. I have already filed a claim with the FBI on its website. Do I need to also provide information about my claim to the Receiver?

Answer. Even if you have filed a claim with the FBI, the Receiver does not expect to have access to the information you have sent to the FBI. Submitting information to the Receiver on the form provided will ensure that the Receiver has your information, so it can be to your advantage to do so.

Question 8. I have already filed a claim with the Antiguan receivers for Stanford International Bank, Ltd. or Stanford Trust Company, Ltd., or with Vantis plc. Do I need to also provide information about my claim to the Receiver?

Answer. Even if you have filed a claim with the Antiguan receivers for Stanford International Bank, Ltd. or Stanford Trust Company, Ltd., or with Vantis (which is affiliated with those receivers and provides some services for them), neither the Receiver nor the Court has at this time a method of coordinating the information you may have sent to them with the information available to the Receiver. Submitting information to the Receiver on the form provided will ensure that the Receiver has your information, so it can be to your advantage to do so.

Question 9. Where can I learn more about the status of my Stanford International Bank certificates of deposit?

Answer. To learn more, [click here](#).

Question 10. Where can I learn more about issues regarding coin and bullion claims?

Answer. To learn more, [click here](#).